# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### FORM 6-K

## REPORT OF FOREIGN PRIVATE ISSUER PURSUANT TO RULE 13A-16 OR 15D-16 UNDER THE SECURITIES EXCHANGE ACT OF 1934

Dated November 2, 2020

Commission File Number 001-36421

# AURINIA PHARMACEUTICALS INC.

(Exact name of Registrant as specified in its charter)

N/A (Translation of Registrant's Name)

#1203-4464 Markham Street
Victoria, British Columbia
V8Z7X8
(250) 708-4272
(Address and telephone number of registrant's principal executive offices)

	Indicate by check mark whether the registrant files or will file annual reports under cover of Form 20-F or Form 40-F.				
	Form 20-F $\square$ Form 40-F $\boxtimes$				
	Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b) (1): $\Box$				
	Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b) (7): $\Box$				
	Indicate by check mark whether by furnishing the information contained in this Form, the registrant is also thereby furnishing the information to the Commission				
	ant to Rule 12g3-2(b) under the Securities Exchange Act of 1934.  Yes ⊠ No □				
	This Form 6-K is hereby filed and incorporated by reference in the registrant's Registration Statement on Form F-10 (File No. 333-238785).				

# SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Dated: November 2, 2020

#### Aurinia Pharmaceuticals Inc.

By: /s/ Joseph Miller

Name: Joseph Miller Title: Chief Financial Officer

## EXHIBIT INDEX

# **Exhibit Description of Exhibit**

99.1 News Release - Aurinia Announces Outcome of AUDREY<sup>TM</sup> Clinical Trial in Dry Eye Syndrome

Exhibit 99.1 included with this report on Form 6-K is hereby incorporated by reference as exhibits to the Registration Statement on Form F-10 of Aurinia Pharmaceuticals Inc. (File No. 333-238785), as amended or supplemented.

## Aurinia Announces Outcome of AUDREY<sup>TM</sup> Clinical Trial in Dry Eye Syndrome

- The trial did not achieve statistical significance on the primary endpoint of ≥ 10mm improvement inSchirmer Tear Test (STT) at 4 weeks – Company to suspend development program for voclosporin ophthalmic solution (VOS) - - Aurinia to host conference call today at 4:30 p.m. EST -

VICTORIA, British Columbia--(BUSINESS WIRE)--November 2, 2020--Aurinia Pharmaceuticals Inc. (Nasdaq: AUPH / TSX:AUP) ("Aurinia" or the "Company"), a late-stage clinical biopharmaceutical company, today announced topline data from the Phase 2/3 AUDREY<sup>TM</sup> clinical study evaluating voclosporin ophthalmic solution (VOS) for the potential treatment of dry eye syndrome (DES). The trial did not achieve statistical significance on its primary endpoint of a 10mm or greater improvement in STT at four weeks between active dose groups of VOS compared to vehicle. Aurinia is suspending the development program for VOS based upon these results.

"First and foremost, we would like to thank the patients and investigators who participated in the AUDREY clinical trial. Based upon these initial topline results that we continue to interrogate, we are suspending the DES program at this time," commented Peter Greenleaf, President and Chief Executive Officer of Aurinia. "While surprised by these results, we remain focused on preparing voclosporin for lupus nephritis – which has a different formulation and delivery mechanism compared to VOS. As we approach our lupus nephritis PDUFA action date, the Aurinia team remains committed to our mission of developing novel treatments for people with debilitating and severe autoimmune disease."

The AUDREY trial was a randomized, double-masked, vehicle-controlled, dose-ranging study evaluating the efficacy and safety of VOS in subjects with DES. A total of 508 subjects were enrolled. The study consisted of four arms with a 1:1:1:1 randomization schedule, in which patients received either 0.2% VOS, 0.1% VOS, 0.05% VOS or vehicle, dosed twice daily for 12 weeks. The primary outcome measure for the trial was the proportion of subjects with a 10mm or greater improvement in STT at four weeks.

	Measure	Result (%)	Odds-Ratio (vs. vehicle) [95% CI]	p-value (vs. vehicle)
	Percentage of patients with a ≥ 10mm improvement from baseline in a Schirmer Tear Test at 4 weeks	VOS 0.05% =10%	2.18 [0.62, 7.62]	p = 0.09
Primary		VOS 0.1% = 9%	1.78 [0.49, 6.45]	p = 0.28
Endpoint		VOS 0.2% = 11%	2.48 [0.70, 8.30]	p = 0.13
		Vehicle = 5%	N/A	N/A

"While we are understandably disappointed that VOS did not achieve the primary endpoint of the AUDREY trial, we uncovered important learnings about this disease state, particularly concerning the patient population with severe dry eye syndrome," commented Neil Solomons, M.D., Chief Medical Officer.

Secondary outcome measures evaluated in the trial included STT at other time points, Fluorescein Corneal Staining (FCS) at multiple time points, change in eye dryness, burning/stinging, itching, photophobia, eye pain and foreign body sensation at multiple time points, and additional safety endpoints. Initial analysis of these secondary outcomes suggests dose-dependent activity and safety were observed across dose groups compared to vehicle. Further analysis of the AUDREY dataset will be conducted over the coming weeks.

#### **Conference Call Information**

Aurinia will host a conference call and webcast to discuss these results today, Monday, November 2, 2020 at 4:30 p.m. EST. The webcast can be accessed on the investor section of the Aurinia website at www.auriniapharma.com. To participate in the teleconference, please dial +1-877-407-9170 (Toll-free U.S. & Canada).

#### **About Aurinia**

Aurinia Pharmaceuticals is a late-stage clinical biopharmaceutical company focused on developing and commercializing therapies to treat targeted patient populations that are impacted by serious diseases with a high unmet medical need. The Company is currently seeking FDA approval of voclosporin for the potential treatment of LN. The Company's head office is in Victoria, British Columbia and its U.S. commercial hub is in Rockville, Maryland. The Company focuses its development efforts globally.

### **Contacts**

#### **Investors & Corporate:**

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